

## Notes for Lecture 15

We continue our proof of the Impagliazzo-Wigderson Theorem [1] stated in Lecture 12. As was discussed there, our proof of the theorem requires sublinear-time list-decoding of error-correcting codes. In today's lecture, we give such a scheme for Reed-Muller codes. This is based on results of [2].

### 1 Notations and Previous Results

Recall that  $\mathbb{F}$  is a field with  $q$  elements. We consider a subset  $H$  of  $\mathbb{F}$  of size  $h$ . A Reed-Muller code maps messages in  $\mathbb{F}^{h^m}$  to codewords in  $\mathbb{F}^{q^m}$  for some  $m$ . It will be convenient to think of the message as a function from  $H^m$  to  $\mathbb{F}$ . In a Reed-Muller code, the message is interpreted as the values taken by a multivariate polynomial on the subset  $H^m$  of  $\mathbb{F}^m$ . The codeword corresponds to the values of the polynomial at all points in  $\mathbb{F}^m$ . We denote  $M$  the message and  $p$  the encoding. The "corrupted" codeword is denoted  $f$ .

We first recall two results from previous lectures.

**Proposition 1** *Assume the function  $f : \mathbb{F}^m \rightarrow \mathbb{F}$  is  $\frac{1}{10}$ -close to a multivariate polynomial  $p : \mathbb{F}^m \rightarrow \mathbb{F}$  of degree  $hm$  with  $q > 5hm$ . Given  $x \in \mathbb{F}^m$ , we can compute  $p(x)$  w.h.p. in time  $\text{poly}(|\mathbb{F}|, hm)$ .*

**Proposition 2** *Let  $g : \mathbb{F} \rightarrow \mathbb{F}$  and  $a > 2\sqrt{(d+1)|\mathbb{F}|}$  for some  $d$ . Then, we can find a list of all polynomials of degree  $d$  that agree with  $g$  on at least  $a$  points in time  $\text{poly}(|\mathbb{F}|)$ . Moreover, the list has size at most  $\frac{a}{2d}$ .*

### 2 Toy Problem

We begin with a toy problem.

- Setup:
  - $p : \mathbb{F}^m \rightarrow \mathbb{F}$  polynomial of degree  $hm$
  - $f : \mathbb{F}^m \rightarrow \mathbb{F}$  function agreeing with  $p$  on  $\varepsilon$  fraction of inputs
- Given:
  - $x, y$  uniformly random in  $\mathbb{F}^m$
  - the value of  $p(y)$
  - oracle access to  $f$
- Goal: compute  $p(x)$ .

The following algorithm is a natural candidate solution to this problem. Consider the line  $l(t) = ty + (1-t)x$  for  $t \in \mathbb{F}$ . It contains  $|\mathbb{F}|$  points with  $l(0) = x$  and  $l(1) = y$ . Consider the restrictions of  $p$  and  $f$  to this line, that is  $p_0(t) = p(l(t))$  and  $f_0 = f(l(t))$ . Apply Sudan's algorithm (Proposition 2) to  $f_0$  with  $d = hm$  and  $a = \frac{\varepsilon|\mathbb{F}|}{2}$ . The list returned has size  $\frac{\varepsilon|\mathbb{F}|}{4hm}$ . If there is a unique polynomial  $r$  in the list with  $r(1) = p(y)$  then output  $r(0)$ , otherwise output FAIL.

We make two claims.

**Claim 3** *Assume  $|\mathbb{F}| > \frac{20}{\varepsilon^2}$ . Then, with probability at least  $19/20$  over the choice of  $x, y, p_0$  and  $f_0$  agree on at least  $\frac{\varepsilon|\mathbb{F}|}{2}$  points (and, in particular,  $p_0$  appears in the list output by our algorithm).*

PROOF: Because  $x, y$  are chosen independently uniformly at random in  $\mathbb{F}^m$ , the points on the line  $\{l(t) : t \in \mathbb{F}\}$  are pairwise independent. For  $t \in \mathbb{F}$ , define

$$Z_t = \begin{cases} 1, & \text{if } p_0(t) = f_0(t), \\ 0, & \text{o.w.} \end{cases}$$

We have  $\mathbb{E}[Z_t] \geq \varepsilon$  because  $p$  and  $f$  have  $\varepsilon$  agreement. Let  $\mu = \mathbb{E}[\sum_t Z_t]$  and  $\mathcal{E}$  be the event

$$\mathcal{E} = \left\{ f_0 \text{ and } p_0 \text{ agree on less than } \frac{\varepsilon|\mathbb{F}|}{2} \text{ points} \right\}.$$

By Chebyshev's inequality,

$$\mathbb{P}[\mathcal{E}] \leq \mathbb{P} \left[ \left| \sum_t Z_t - \mu \right| > \frac{\varepsilon|\mathbb{F}|}{2} \right] \leq \frac{4\text{Var}[\sum_t Z_t]}{\varepsilon^2|\mathbb{F}|^2} \leq \frac{4\sum_t \text{Var}[Z_t]}{\varepsilon^2|\mathbb{F}|^2} \leq \frac{1}{\varepsilon^2|\mathbb{F}|} \leq \frac{1}{20},$$

where we have used the pairwise independence of the  $Z_t$ 's to permute  $\text{Var}$  and  $\sum$ , and the fact that the variance of a 0 – 1 variable is at most  $\frac{1}{4}$ .  $\square$

**Claim 4** *Assume  $|\mathbb{F}| > \frac{16hm}{\varepsilon^2}$ . Then, with probability at least  $\frac{19}{20}$ ,  $p_0$  is the unique polynomial in the list with value  $p(y)$  at  $t = 1$  (for  $\varepsilon$  small enough).*

PROOF: We think of  $x$  and  $y$  as being picked according to the following process. We first pick a random line, that is we choose  $z, w$  independently uniformly at random and consider the line  $l'(t) = tz + (1-t)w$ . We then choose two different uniform points on  $l'$ , that is we choose  $t_1, t_2$  uniformly without replacement in  $\mathbb{F}$  and let  $x = t_1z + (1-t_1)w$  and  $y = t_2z + (1-t_2)w$ .

By assumption,  $a = \frac{\varepsilon|\mathbb{F}|}{2} > 2\sqrt{|\mathbb{F}|hm}$  so that Sudan's algorithm can be used. By Proposition 2, there are at most  $\frac{\varepsilon|\mathbb{F}|}{4hm}$  polynomials of degree at most  $hm$  agreeing with  $f$  restricted to  $l'$  on at least  $\frac{\varepsilon|\mathbb{F}|}{2}$  points. Two such polynomials agree on at most  $\frac{hm}{|\mathbb{F}|}$  fraction of  $\mathbb{F}$  (number of roots of difference). Assume  $r$  is a polynomial not equal to  $p'_0$ , the restriction of  $p$  to  $l'$  (in particular  $p'_0(t_2) = p(y)$ ). Then

$$\mathbb{P}[r(t_2) = p(y)] \leq \frac{hm}{|\mathbb{F}|},$$

because  $y$  is uniformly random on the line. Therefore,

$$\mathbb{P}[\exists r \neq p'_0 \text{ in the list s.t. } r(t_2) = p(y)] \leq \frac{\varepsilon|\mathbb{F}|}{4hm} \frac{hm}{|\mathbb{F}|} \leq \frac{\varepsilon}{4} \leq \frac{1}{20},$$

if  $\varepsilon$  is small enough.

Notice finally that even though we applied Sudan's algorithm to  $f$  restricted to  $l'$  rather than  $l$ , there is a one-to-one linear map between polynomials such that agreement with  $f$  on  $l$  corresponds to agreement with  $f$  on  $l'$ . This concludes the proof.

□

We have proved the following.

**Proposition 5** *Consider the setup of the Toy Problem with*

$$|\mathbb{F}| > \max \left\{ \frac{20}{\varepsilon^2}, \frac{16hm}{\varepsilon^2} \right\}.$$

*Then for  $\varepsilon$  small enough, we can compute  $p(x)$  with probability at least  $\frac{9}{10}$ .*

### 3 Main Result

Given  $x, y$  the algorithm above is deterministic. Let  $A_{y,p(y)}(x)$  be the output of the algorithm on inputs  $x, y, p(y)$ . Then we know from Proposition 5 that

$$\mathbb{P}_{x,y}[A_{y,p(y)}(x) = p(x)] \geq \frac{9}{10}.$$

Therefore, there exists a  $y$  such that

$$\mathbb{P}_x[A_{y,p(y)}(x) = p(x)] \geq \frac{9}{10}.$$

Fix that  $y$ . From Proposition 2, it follows that if  $f$  has a circuit of size  $S$  then  $A_{y,p(y)}$  has a circuit of size  $S|\mathbb{F}| + \text{poly}(|\mathbb{F}|)$ . Now, apply the algorithm of Proposition 1 to  $A_{y,p(y)}$ . We get the following result.

**Theorem 6** *Let  $p : \mathbb{F}^m \rightarrow \mathbb{F}$  be a polynomial of degree  $hm$  and  $f : \mathbb{F}^m \rightarrow \mathbb{F}$  a function agreeing with  $p$  on an  $\varepsilon$  fraction of inputs in  $\mathbb{F}^m$ . Assume furthermore that*

$$|\mathbb{F}| > \max \left\{ \frac{20}{\varepsilon^2}, \frac{16hm}{\varepsilon^2} \right\}.$$

*If  $f$  can be computed by a circuit of size  $S$ , then  $p$  can be computed by a circuit of size  $S\text{poly}(|\mathbb{F}|, hm)$  (a more careful analysis gives  $S|\mathbb{F}|\text{poly}(\log |\mathbb{F}|, hm) + \text{poly}(|\mathbb{F}|)$ ).*

## 4 Back to the Impagliazzo-Wigderson Theorem

We conclude with a discussion of the relevance of Theorem 6 to our (ongoing) proof of the Impagliazzo-Wigderson theorem which we will complete in the next lecture.

Suppose  $L$  is a decision problem solvable in time  $2^{O(n)}$  that cannot be solved by circuits of size  $2^{\delta n}$  on inputs of length  $n$  for some  $\delta > 0$ . Denote  $L_n : \{0, 1\}^n \rightarrow \{0, 1\}$  the restriction of  $L$  to inputs of size  $n$ . Fix  $\gamma (= \Omega(\delta))$ . Using the notation of the previous sections, take  $h = 2^{\gamma n}$ ,  $m = \frac{1}{\gamma}$ ,  $\varepsilon = \frac{1}{2^{\gamma n}}$ . From previous results, we need to take  $q = 16 \cdot 2^{2\gamma n} \cdot 2^{\gamma n} = 2^{3\gamma n + 4}$ . We think of  $H$  as  $\{0, 1\}^{\gamma n}$  and  $L_n$  as a function from  $H^m$  to  $\{0, 1\}$ . Let  $p : \mathbb{F}^m \rightarrow \mathbb{F}$  a degree  $hm$  polynomial that agrees with  $L_n$  on  $H^m$ . We think of  $p$  as a function from  $\{0, 1\}^{3n+4/\gamma}$  to  $\{0, 1\}^{3\gamma n+4}$ . By a standard interpolation formula,  $p$  is computable in time  $2^{O(n)}$ . From Theorem 6, if there exists a circuit of size  $S$  that computes  $p$  on a fraction  $\varepsilon = \frac{1}{2^{\gamma n}}$  of inputs, then there exists a circuit of size  $S2^{\gamma nc}$  for some  $c > 0$  that computes  $p$  everywhere. In particular, it computes  $L_n$  everywhere. This gives a contradiction if  $\gamma$  is such that  $S2^{\gamma nc} < 2^{\delta n}$ . Therefore, we have constructed a function with exponential average-case complexity.

What we really need is a *decision* problem with exponential average-case complexity. We will construct such a problem in the next lecture.

## References

- [1] R. Impagliazzo and A. Wigderson.  $P = BPP$  unless  $E$  has sub-exponential circuits. In *Proceedings of the 29th ACM Symposium on Theory of Computing*, pages 220–229, 1997.
- [2] M. Sudan, L. Trevisan, and S. Vadhan. Pseudorandom generators without the XOR lemma. *Journal of Computer and System Sciences*, 62(2):236–266, 2001.